

Privacy Policy

Traditions Wealth Advisors, LLC (TWA) believes the confidentiality and protection of client information is one of our fundamental responsibilities. While collecting client personal information is critical to providing quality service, we recognize that one of our most important assets is our client's trust. Thus the safekeeping of client nonpublic personal information is a priority to TWA.

Use, Collection, and Retention:

TWA limits the use, collection, and retention of client information to what we believe is necessary or useful to conduct our business and provide quality service to clients. Information collected may include, but is not limited to: name, address, telephone number, tax identification number, date of birth, employment status, wills, trusts, mortgages, tax returns, retirement accounts, brokerage accounts, annual income and net worth, spending habits, investment objectives, financial goals, and other data concerning your financial condition and assets.

Employee Access:

Employees are educated on the importance of maintaining the confidentiality of client information and on these Privacy Principles. Because of the importance of these issues, all TWA employees are responsible for maintaining the confidentiality of client information and employees who violate this Privacy Policy will be subject to disciplinary measures.

Protection of Information:

TWA recognizes that a fundamental element of maintaining effective client privacy procedures is to provide reasonable protection against the unauthorized access to client information. Therefore, TWA has established appropriate security standards to guard any unauthorized access to client information through physical, electronic, and procedural safeguards.

Restrictions on Disclosure:

When it comes to sharing client information with unaffiliated companies, TWA places strict limits on who receives specific information about client accounts and other personally identifiable data. We may share information with unaffiliated companies that assist us in serving our clients in the normal course of our business, when legally required, or with the permission of a client.

Disclosure to Clients:

TWA recognizes and respects the privacy expectations of our clients. The Privacy Policy is readily available to our clients at any time. Questions about the Privacy Policy should be directed to **Brien L. Smith at (866) 694-9100.**